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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OHNUMA, Takayuki, et al.** Attention: Applications Division

Serial Number: **10/583,939** Group Art Unit: **3677**

Filed: **June 7, 2007** P.T.O. Confirmation No.: **1457**

For: **METHOD OF FORMING MEMBER, VALVE GUIDE AND METHOD OF FORMING THE SAME, AND METHOD OF FORMING TUBULAR MEMBER**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 2, 2007

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the **name of the Assignee is incorrect and should read -- Honda Motor Co., LTD. -- and the city of the fifth Applicant is incorrect and should read -- Saitama, JAPAN --**. Copies of the Declaration and Assignment are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

  
Mel R. Quintos

Attorney for Applicants  
Reg. No. 31,898

MRQ/mma  
Atty. Docket No. **060419**  
Suite 400  
1420 K Street, N.W.  
Washington, D.C. 20005  
(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration and Assignment

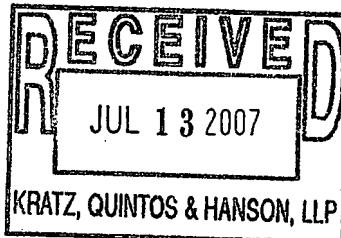


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/583,939	06/07/2007	3677	2030	060419	19	8

23850  
 KRATZ, QUINTOS & HANSON, LLP  
 1420 K Street, N.W.  
 Suite 400  
 WASHINGTON, DC 20005



CONFIRMATION NO. 1457  
 FILING RECEIPT



\*OC000000024747440\*

Date Mailed: 07/11/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Takayuki Ohnuma, Saitama, JAPAN;  
 Takashi Kobayashi, Saitama, JAPAN;  
 Tsutomu Ando, Saitama, JAPAN; *Saitama*  
 Hidekata Hiruma, Saitama, JAPAN;  
 Ryotaro Takada, *Sayama-shi*, JAPAN;

## Assignment For Published Patent Application

HONDA *ENGINEERING* CO., LTD., Saitama, JAPAN *Motot*

Power of Attorney: The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15623 10/21/2004

## Foreign Applications

JAPAN 2003-424945 12/22/2003  
 JAPAN 2004-047664 02/24/2004  
 JAPAN 2004-050240 02/25/2004  
 JAPAN 2004-050270 02/25/2004  
 JAPAN 2004-050293 02/25/2004  
 JAPAN 2004-237872 08/18/2004

DOCKETED *MMA*  
 DATE *7/14/2007*

**If Required, Foreign Filing License Granted:** 06/16/2007

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/583,939**

**Projected Publication Date:** 10/18/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Method of Forming Member, Valve Guide and Method of Forming the Same, and Method of Forming Tubular Member

**Preliminary Class**

411

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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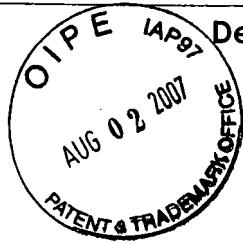
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# Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初で、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初で、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## METHOD OF FORMING MEMBER, VALVE GUIDE

## AND METHOD OF FORMING THE SAME, AND

## METHOD OF FORMING TUBULAR MEMBER

the specification of which is attached hereto unless the following box is checked

の日に出願され、  
この出願の米国出願番号または PCT 国際出願番号は、  
であり、且つ  
\_\_\_\_\_ の日に補正された出願（該当する場合）

was filed on October 21, 2004  
as United States Application Number or  
PCT International Application Number  
PCT/2004/015623 and was amended on  
\_\_\_\_\_ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第 37 編規則 1. 56 に定義されている、特許性について重要な情報を開示する義務があることを承認する。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

## Declaration and Power of Attorney for Patent Application

### 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

私は、ここに、以下に記載した外国での特許出願または発明者証出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日よりも前の出願日を有する外国での特許出願または発明者証出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

### 外国での先行出願

—他の優先権出願については添付のリスト参照

— See attached list for additional prior foreign applications.

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

(Application No.)  
(出願番号)

(Filing Date)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された様様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況 : 特許許可、係属中、放棄)

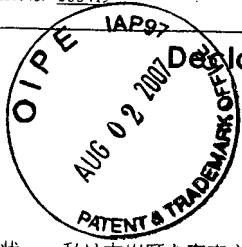
(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況 : 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



# Declaration and Power of Attorney for Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

委任状：私は本出願を審査する手続きを行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び／または弁理士を任命する。

POWER OF ATTORNEY: As a named inventor, I hereby appoint The following attorney(s) and/or agent(s) to prosecute this Application and transact all business in the Patent and Trademark Office connected therewith.

23850

PATENT TRADEMARK OFFICE

全ての通信は下記の住所へ送付されたい。

Please direct all communications to the following address:

23850

PATENT TRADEMARK OFFICE

## 唯一または第一発明者氏名

発明者の署名

日付

Full name of sole or first inventor  
Takayuki OHNUMA

Signature

Takayuki Ohnuma

Date

18/May/2007

住所

Residence

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国籍

Citizenship

Japanese

郵便の宛先

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350-1381 Japan

## 第二共同発明者がいる場合、その氏名

発明者の署名

日付

Full name of second joint inventor, if any

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Signature

Takashi Kobayashi

Date

18/May/2007

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## 第三共同発明者がいる場合、その氏名

発明者の署名

日付

Full name of third joint inventor, if any

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Signature

Tsutomu Ando

Date

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Citizenship

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350-1381 Japan

## 第四共同発明者がいる場合、その氏名

発明者の署名

日付

Full name of fourth joint inventor, if any

Hidetaka HIRUMA

Signature

Hidetaka Hiruma

Date

18/May/2007

住所

Residence

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国籍

Citizenship

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350-1381 Japan

第五共同発明者がいる場合、その氏名		Full name of fifth joint inventor Ryotaro TAKADA Signature Ryotaro Takada	
発明者の署名	日付	Residence Sayama-shi, Saitama, Japan Citizenship Japanese Post Office Address c/o HONDA ENGINEERING CO., LTD. 10-1 Sayama 1-chome, Sayama-shi, Saitama 350-1381 Japan	
住所		Date 18/may/2007	
国籍			
郵便の宛先			
第六共同発明者がいる場合、その氏名		Full name of sixth joint inventor, if any	
発明者の署名	日付	Signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	
第七共同発明者がいる場合、その氏名		Full name of seventh joint inventor, if any	
発明者の署名	日付	Signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	
第八共同発明者がいる場合、その氏名		Full name of eighth joint inventor, if any	
発明者の署名	日付	Signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	
第九共同発明者がいる場合、その氏名		Full name of ninth joint inventor, if any	
発明者の署名	日付	Signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	
第十共同発明者がいる場合、その氏名		Full name of tenth joint inventor, if any	
発明者の署名	日付	Signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	

# U.S. ASSIGNMENT

(Insert ASSIGNEE's Name(s) Address(es))

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

**HONDA MOTOR CO., LTD.**

**1-1, Minami-Aoyama 2-chome, Minato-ku, Tokyo 107-8556 Japan**

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

(Title of Invention)

**METHOD OF FORMING MEMBER, VALVE GUIDE AND METHOD OF FORMING THE SAME, AND METHOD OF FORMING TUBULAR MEMBER**

(\*If the assignment is being filed after the filing of the application, this section must be completed)

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

June 22, 2006

\* filed on       , Serial No. 10/583,939

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Takayuki Ohnuma

(Signature)

**Takayuki OHNUMA**

(Type Name)

09/06/2006

(Date)

Takashi Kobayashi

(Signature)

**Takashi KOBAYASHI**

(Type Name)

08/06/2006

(Date)

Tsutomo Ando

(Signature)

**Tsutomo ANDO**

(Type Name)

09/06/2006

(Date)

Hidetaka Hiruma

(Signature)

**Hidetaka HIRUMA**

(Type Name)

09/06/2006

(Date)

Ryotaro Takada

(Signature)

**Ryotaro TAKADA**

(Type Name)

08/06/2006

(Date)

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